



Serial No.: 09/575,561
Docket No.: A00312.70408.US
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DOCKET NO: A00312.70408.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Applicant: Paul F. Ferguson, Jr. et al.
Serial No: 09/575,561
Confirmation No: 1264
Filed: May 21, 2000
For: METHOD AND APPARATUS FOR USE IN SWITCHED
CAPACITOR SYSTEMS

Examiner: Trong Q. Phan
Art Unit: 2818

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 24 day of July, 2003.


Steven J. Henry
Reg. No. 27,900

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

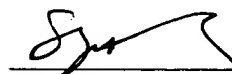
Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 with cited references
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$180.00 is enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,


Steven J. Henry, Reg. No. 27,900
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617)720-3500

Docket No.: A00312.70408.US
Date: July 2, 2003

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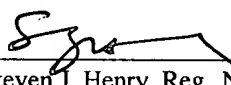
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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 2 day of July, 2003.


Steven J. Henry, Reg. No. 27,900

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed after the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311 and is being filed on or before payment of an Issue Fee.

The IDS processing fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

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Conf. No.: 1264

The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International Application (PCT/US01/16370).

The Examiner is reminded that the PCT claims and US claims may be different and that US law and PCT criteria, while similar, are not the same and do not allow consideration of the same references on the same legal principles. The submission of the Search Report is not an admission of agreement with any of its contents. The Examiner is urged to refer with caution to the PCT Search Report and to form his or her own opinions as to the references.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

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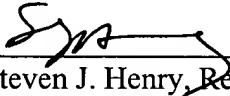
Art Unit: 2818

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

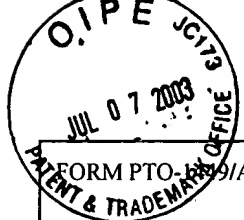
An early and favorable action is hereby requested.

Respectfully submitted,

Paul F. Ferguson, Jr. et al., Applicants

By: 
Steven J. Henry, Reg. No. 27,900
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Telephone: (617) 720-3500

Docket No. A00312.70408.US
Date: July 2, 2003
XNDDX



FORM PTO-619/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 09/575,561		ATTY. DOCKET NO.: A00312.70408.US	
				FILING DATE: May 21, 2000		CONFIRMATION NO.: 1264	
				APPLICANT: Paul F. Ferguson, Jr. et al.			
				GROUP ART UNIT: 2818		EXAMINER: Trong Q. Phan	
Sheet	1	of	1				

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	1.	4,584,568		Zomorodi	04-22-1986
	2.	4,591,832		Fling et al.	05-27-1986
	3.	4,894,620		Nagaraj	01-16-1990
	4.	4,968,989		Olmstead et al.	11-06-1990
	5.	5,008,674		Da Franca et al.	04-16-1991
	6.	5,036,322		Barrow et al.	07-30-1991
	7.	5,809,432		Yamashita	09-15-1998
	8.	6,124,813		Robertson et al.	09-26-2000
	9.	6,154,162		Watson et al.	11-28-2000

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
	10.	EP	0 903 862	A1	St. Microelectronics S.r.l.	03-24-1999	

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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